## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Luigi Gobbi, et al

Examiner:

Serial No:

Group Art Unit:

Filed:

For:

Integrated Optical Chip

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on Date of Deposit

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Name of applicant, assignee, or

Registered Rep

Express Mail No. EV327189060 US

| FORM PTO-1449 (MODIFIED)                        |        |   |           | ATTORNEY DOCKET NO.           |                | SERIAL NO.       |                       |                  |
|---|--------|---|-----------|-------------------------------|----------------|------------------|-----------------------|------------------|
| LIST OF PATENTS AND PUBLICATIONS                |        |   |           | UK02-013                      |                |                  |                       |                  |
| FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT |        |   |           | APPLICANT Luigi Gobbi, et al. |                |                  |                       |                  |
|   |        |   |           | FILING DATE                   |                | GROUP:           |                       |                  |
| REFERE  | NCE D  | ESIGNATION  |           | U.S. PATENT DOCU              | MENTS          |                  |                       |                  |
| Examiner<br>Initial                             |        | Document Number   | Date      | Name                          | Clas           | ss Sub-<br>Class |                       | g Date<br>oprop. |
|   | AA     | 4,750,800   | 6/14/88   | Fournier, et al               | 350            | 96.11            |                       |                  |
|   | AB     | 5,388,170   | 2/7/95    | Heismann, et al               | 385            | 4                |                       |                  |
|   | AC     | 6,044,184   | 3/28/00   | Shafer, et al                 | 385            | 14               | 1                     |                  |
|   | AD     | 6,353,690   | 3/5/02    | Kulishov                      | 385            | 10               |                       |                  |
|   | AE     |   |           |                               |                |                  |                       | -                |
|   | AF     |   |           |                               |                |                  |                       |                  |
|   | AG     |   |           |                               |                |                  |                       |                  |
|   | AH     |   |           |                               |                |                  |                       |                  |
|   | AI     |   |           |                               |                |                  | 7                     |                  |
|   | AJ     |   |           |                               |                |                  | 7                     |                  |
|   | AK     |   |           |                               |                |                  |                       |                  |
|   |        | F   | OREIGN    | I PATENT DOCUME               | NTS_           |                  |                       |                  |
|   | i<br>i | Document Number   | Date      | Country                       | Class          | Sub-<br>Class    | Translation<br>Yes No |                  |
|   | AL     | WO 91/03748   | 21/3/91   | PCT                           |                |                  |                       |                  |
|   | AM     |   |           |                               |                |                  |                       |                  |
|   | AN     |   | ]         |                               |                |                  |                       |                  |
|   | AO     |   |           |                               |                |                  |                       |                  |
|   | AP     |   |           |                               |                |                  |                       |                  |
|   | AQ     |   |           |                               |                |                  |                       |                  |
|   |        | OTHER ART (   | Including | Author, Title, Date, Po       | ertinent Pages | etc.)            |                       | <u> </u>         |
|   | AR     | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)  R "Electrical Loss Mechanisms In Traveling Wave LiNbO <sub>3</sub> Optical Modulators" G. K. Gopalakrishnan, et al Electronics Letters, 16 January 1992, Vol. 28, No. 2 |           |                               |                |                  |                       |                  |
|   | AS     |   |           |                               |                | <del></del> -    |                       |                  |
|   | AT     |   |           |                               |                |                  |                       |                  |
|   | AU     |   |           |                               |                | <del></del> -    |                       |                  |
|   | AV     |   |           |                               |                |                  |                       |                  |
|   | AW     |   |           |                               |                |                  |                       |                  |
| EXAMINI   | ER:    |   |           |                               | DATE CONS      | DERED:           |                       |                  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.